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MAKABAGONG ADUANA,  
MATATAG NA EKONOMIYA

# OPERATIONAL CERTIFICATION PROCEDURE (OCP)

PRESENTED BY:  
GINA C. GERMAN  
Bureau of Customs

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*Accountability*





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# WHAT IS OCP? WHERE CAN WE FIND IT?

**Original (Duplicate/Triplicate)**

1. Goods consigned from (Exporter's business name, address, country)		Reference No. ASEAN COMMON EFFECTIVE PREFERENTIAL TARIFF / ASEAN INDUSTRIAL COOPERATION SCHEME CERTIFICATE OF ORIGIN (Combined Declaration and Certificate)  FORM D Issued in _____ (Country) See Overleaf Notes			
2. Goods consigned to (Consignee's name, address, country)		4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under ASEAN Common Effective Preferential Tariff Scheme <input type="checkbox"/> Preferential Treatment Given Under ASEAN Industrial Cooperation Scheme <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s)  Signature of Authorized Signatory of the Importing Country			
3. Means of transport and route (as far as known)  Departure date  Vessel's name/Aircraft etc.  Port of Discharge					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in _____ (Country) and that they comply with the origin requirements specified for these goods in the ASEAN Common Effective Preferential Tariff Scheme for the goods exported to _____ (Importing Country)  Place and date, signature of authorized signatory		12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.    Place and date, signature and stamp of certifying authority			
13. <input type="checkbox"/> Third-Country Invoicing <input type="checkbox"/> Exhibition <input type="checkbox"/> Accumulation <input type="checkbox"/> De Minimis <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Partial Cumulation					

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# Certificate of origin

- An important international trade document attesting that the goods in a particular export shipment are wholly obtained, produced, manufactured or processed in a particular country
- Constitutes a declaration by the exporter
- Required for each shipment of goods for which preferential tariff treatment under any FTAs being claimed
- Issued by the relevant authorities or entities which are stipulated in each Agreement

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- ✓ Issues the Certificate of Origin
- ✓ Grants Preferential Rate upon presentation and verification of a Certificate of Origin / Invoice Declaration

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# PROOF OF ORIGIN

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# Manual Certificate of Origin

### Original (Duplicate/Triplicate)

1. Goods consigned from (Exporter's business name, address, country)		Reference No. ASEAN COMMON EFFECTIVE PREFERENTIAL TARIFF / ASEAN INDUSTRIAL COOPERATION SCHEME CERTIFICATE OF ORIGIN (Combined Declaration and Certificate)			
2. Goods consigned to (Consignee's name, address, country)		FORM D Issued in _____ (Country) See Overleaf Notes			
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft, etc. Port of Discharge		4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under ASEAN Common Effective Preferential Tariff Scheme <input type="checkbox"/> Preferential Treatment Given Under ASEAN Industrial Cooperation Scheme <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s)			
Signature of Authorized Signatory of the Importing Country					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in _____ (Country) and that they comply with the origin requirements specified for these goods in the ASEAN Common Effective Preferential Tariff Scheme for the goods exported to _____ (Importing Country) Place and date, signature of authorized signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.  Place and date, signature and stamp of certifying authority		
13. <input type="checkbox"/> Third-Country Invoicing <input type="checkbox"/> Accumulation <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Partial Cumulation			<input type="checkbox"/> Exhibition <input type="checkbox"/> De Minimis <input type="checkbox"/> Issued Retroactively		

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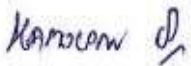


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# Invoice Declaration/ Origin Declaration

"The exporter of the product(s) covered by this document (Certified Exporter Authorization Code. TH M 12020007) declares that, except where otherwise clearly indicated, the products (HS Code/s:....as declared in this in invoice...) satisfy the Rules of Origin to be considered as ASEAN Originating Products under ATIGA (ASEAN country of origin : Thailand), with origin criteria :

...item (1): RVC 73.88% 841810 and (2) : RVC 61.37% 841821  
...item (3): RVC 53.81% 841810 ..."

Sign   
(Mrs.Kamolphan Sawasdee)

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**ELECTRONIC  
CERTIFICATE OF  
ORIGIN**

**ATIGA**

1. Goods consigned from (Exporter's business name, address, SHACHIHATA (M) SDN BHD NO. 15, JLN. ANGKASA MAS UTAMA KAWASAN PERINDUSTRIAN TEBRAU 2 81100 JOHOR BAHRU, JOHOR, MALAYSIA		Reference No. JB-202111-CCF-274048V-026836  <b>ASEAN TRADE IN GOODS AGREEMENT / ASEAN INDUSTRIAL COOPERATION SCHEME CERTIFICATE OF ORIGIN</b> <b>(Combined Declaration and Certificate)</b> <b>Form D</b> Issued in <u>Malaysia</u> (See Overleaf Notes)			
2. Goods consigned to (Consignee's business name, address, TIMES TRADING CO., INC. 523-525 QUINTIN PAREDES ST., BINONDO 1006 MANILA, PHILIPPINES		3. Means of Transport and route (as far as known) Departure Date: 2021-10-18  Vessel's Name/Aircraft, etc.: INTERASIA HERITAGE / E031  Port of Discharge: MANILA SOUTH HARBOUR / PORT OF MANI		4. For official use <input type="checkbox"/> Preferential Treatment Given Under ASEAN Trade in Goods Agreement <input type="checkbox"/> Preferential Treatment Given Under ASEAN Industrial Cooperation Scheme <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s)  Signature of Authorized Signatory of the Importing Country	
5. Item Number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin Criterion (see overleaf notes)	9. Gross weight or other quantity and value (FOB) where FVC is applied	10. Number and date of invoices
1	T.T.I.C. 2021/20774 MANILA C/NO. 340-359	ARTLINE MARKER INK ESK-50A, E/B MARKER INK, 20ML 3215909000 20.00 CT	CTH	220.00 KGM	M1014/2021 2021-10-14
2	T.T.I.C. 2021/20883 MANILA C/NO. 157-198	ARTLINE MARKER INK ESK-50A, W/B MARKER INK, 20ML 3215909000 40.00 CT	CTH	400.00 KGM	M1014/2021 2021-10-14
3	T.T.I.C. 2021/20904 MANILA C/NO. 86-90	ARTLINE MARKER INK ESK-50 W/B MARKER INK, 20ML 3215909000 5.00 CT	CTH	55.00 KGM	M1014/2021 2021-10-14
4	T.T.I.C. 2021/20949 MANILA C/NO. 536-594	ARTLINE MARKER INK ESK-50A, W/B MARKER INK, 20ML 3215909000 59.00 CT	CTH	649.00 KGM	M1014/2021 2021-10-14
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in <u>Malaysia</u> (Country)  and that they comply with the origin requirements specified for these goods in the ASEAN Trade in Goods Agreement for <u>Philippines</u> (Importing Country)  <u>2021-11-09 SHACHIHATA (M) SDN BHD</u> Place and date, signature of authorized signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.   <u>2021-11-14 12:37:11</u> Place and date, signature and stamp of certifying authority		
13. <input checked="" type="checkbox"/> Third-Country Invoicing <input type="checkbox"/> Exhibition <input type="checkbox"/> Accumulation <input type="checkbox"/> De Minimis <input type="checkbox"/> Back-to-Back CO <input checked="" type="checkbox"/> Issued Retroactively <input type="checkbox"/> Partial Cumulation					



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# DIFFERENT CERTIFICATES OF ORIGIN (PREFERENTIAL)

ASEAN TRADE IN GOODS AGREEMENT (FORM D)

ASEAN – JAPAN FREE TRADE AREA (FORM AJ)

ASEAN – KOREA FREE TRADE AREA (FORM AK)

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# DIFFERENT CERTIFICATES OF ORIGIN (PREFERENTIAL)

ASEAN – INDIA FREE TRADE AREA (FORM AI)

ASEAN – CHINA FREE TRADE AREA (FORM E)

ASEAN – AUSTRALIA NEW ZEALAND FREE TRADE  
AREA (FORM AANZ)

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# DIFFERENT CERTIFICATES OF ORIGIN (PREFERENTIAL)

PHILIPPINES- JAPAN ECONOMIC PARTNERSHIP  
AGREEMENT (FORM JP)

ASEAN – HONG KONG FREE TRADE AREA  
(FORM AHK)

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# DIFFERENT CERTIFICATES OF ORIGIN (PREFERENTIAL)

EU GENERALIZED SYSTEM OF PREFERENCE  
(CO FORM A)

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# PROOF OF ORIGIN ORIGIN DECLARATION (PREFERENTIAL)

PH-EFTA FREE TRADE AGREEMENT

EU GENERALIZED SYSTEM OF PREFERENCE  
(REX SYSTEM)

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# DIFFERENT CERTIFICATES OF ORIGIN (PREFERENTIAL)

UPCOMING

REGIONAL COMPREHENSIVE ECONOMIC  
PARTNERSHIP AGREEMENT (FORM RCEP)

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DEFINITION

PRE EXPORTATION  
VERIFICATION



PROVISIONS  
UNDER OCP

EXAMINATION OF  
CERTIFICATE OF  
ORIGIN

ISSUING  
AUTHORITIES

APPLICATION OF  
CERTIFICATE OF  
ORIGIN

ISSUANCE OF  
CERTIFICATE OF ORIGIN

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ISSUANCE OF  
CERTIFICATE OF  
ORIGIN

TREATMENT OF  
ERRONEOUS  
DECLARATION IN  
THE CO

BACK-TO-BACK  
CERTIFICATE OF ORIGIN

**PROVISIONS  
UNDER OCP**

LOSS OF THE  
CERTIFICATE OF  
ORIGIN

PRESENTATION OF  
CERTIFICATE OF  
ORIGIN

VALIDITY PERIOD OF  
CERTIFICATE OF  
ORIGIN

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**PROVISIONS  
UNDER OCP**

TREATMENT OF  
MINOR  
DISCREPANCIES

RETROACTIVE  
CHECK

VERIFICATION  
VISITS

RECORD KEEPING  
REQUIREMENT

CONFIDENTIALITY

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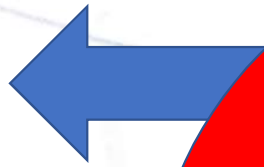
*Accountability*





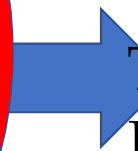
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DIRECT  
CONSIGNMENT



ACTION AGAINST  
FRAUDULENT ACTS

EXHIBITION GOODS



THIRD COUNTRY  
INVOICING

PROVISIONS  
UNDER OCP

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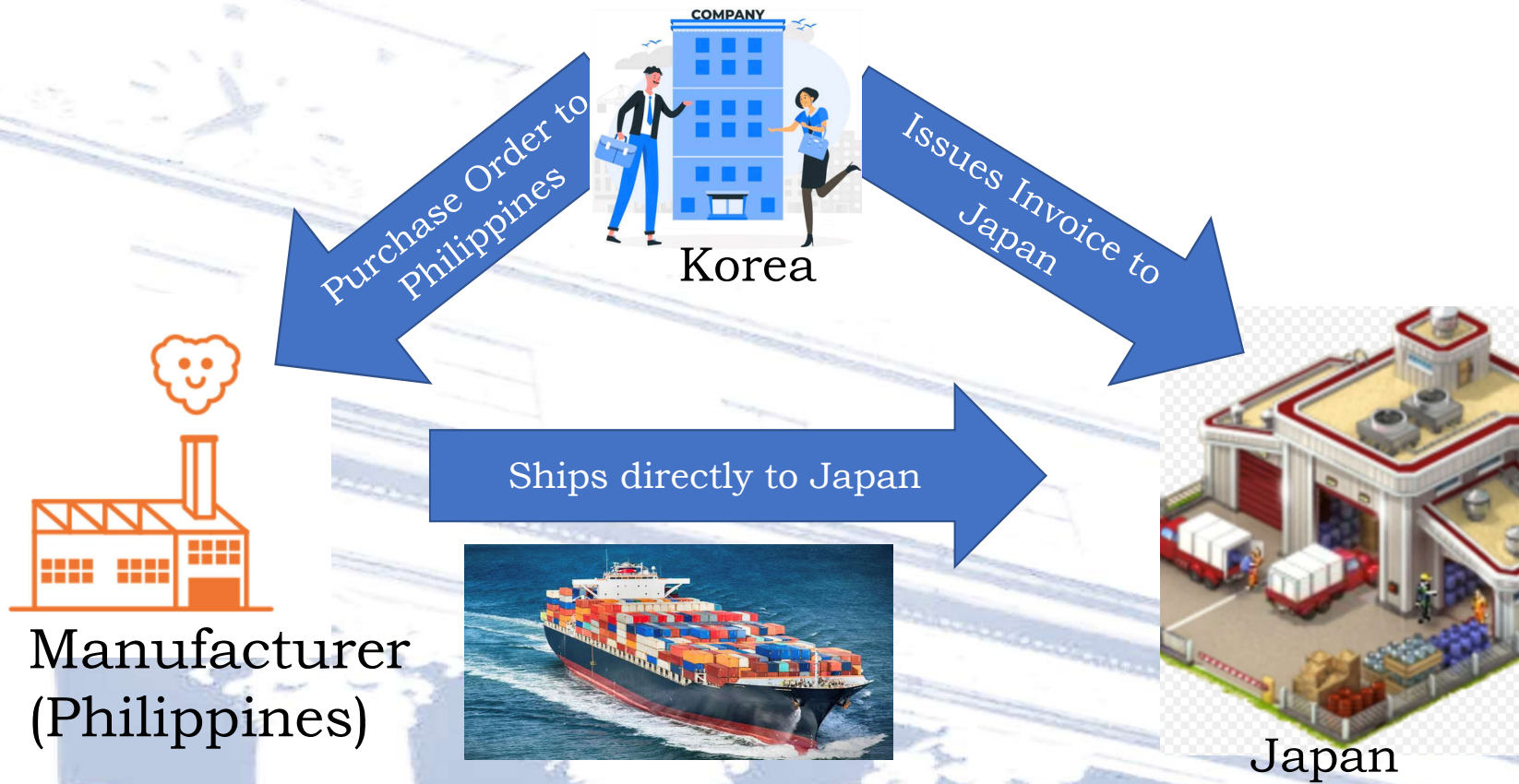
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# THIRD COUNTRY INVOICING



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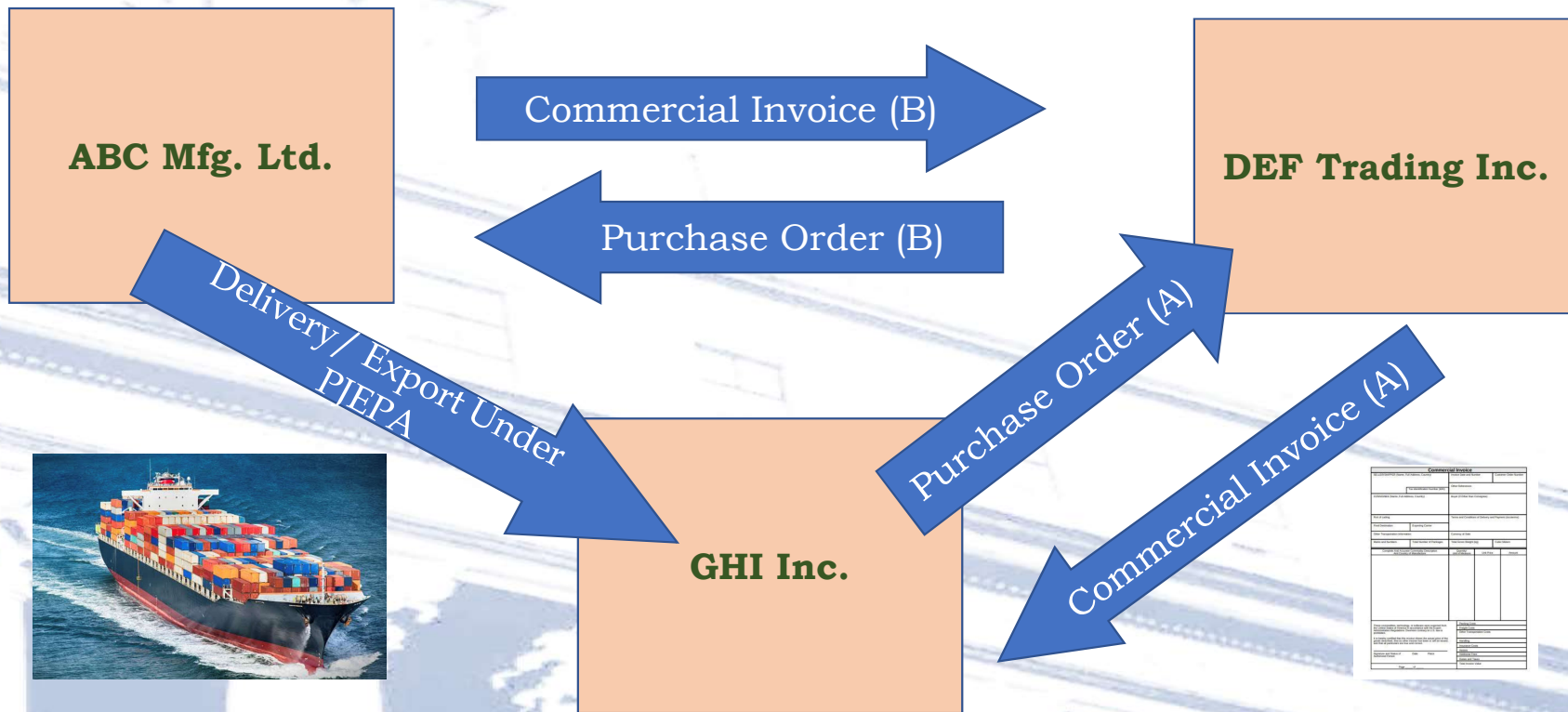
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# THIRD COUNTRY INVOICING



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## Minimum Data Requirement for CO

1. Exporter's name, address and country
2. Importer's name, address and country
3. Certification Number
4. Origin of good (s)
5. Invoice number and date
6. Transport details (if known)
7. HS tariff classification number
8. Marks, numbers, number and kind of packages; Description of good (s)
9. Quantity (Unit)
10. Origin criterion
11. Remarks (e.g. application of de minimis, accumulation)
12. Declaration by the exporter
13. Certification

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## Origin Criteria under different FTA's

### FORM D

- WO
- RVC
- CTH
- CTSH
- CC
- SP
- Combination Criterion e.g. **CTSH + 35%**

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### FORM AK

- WO
- RVC
- CTH
- CTC (PSR)
- WO-AK (PSR)
- Specific Process
- Combination Criterion e.g. **CTSH + 35%**

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### FORM E

- WO
- PE
- PERCENTAGE OF ACFTA CONTENT
- CTH
- PRODUCT SPECIFIC RULE (PSR)

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## Origin Criteria under different FTA's

### FORM AI

- WO
- CTSH + 35%

### FORM AJ

- WO
- Produced entirely in the Party exclusively from originating materials of one or more of the Parties – **“PE”**
- RVC
- CTH

### FORM AJ

- PSR
- CTC
- RVC
- SP





## Origin Criteria under different FTA's

### FORM AANZ

- WO
- PE
- RVC
- CTH
- PSR
- CTC
- RVC
- CTH+RVC

### FORM JP

- A
- B
- C







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## How to apply for a Certificate of Origin?

1

- Manufacturer/export applies for pre-export evaluation

2

- Bureau of customs conducts pre-export evaluation

3

- Manufacturer/exporter applies for CO and submits the issued pre-export evaluation together with invoice, packing list and bill of lading

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## How to apply for a Certificate of Origin?

4

- Bureau of Customs examines documents and issues Certificate of Origin

5

- Manufacturer/exporter sends original CO to the importer and retains the triplicate copy of the CO.

6

- Importer presents the CO to Customs Authority at destination to claim preferential treatment





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## QUALIFYING ORIGINATING GOODS

WHOLLY  
OBTAINED

NOT WHOLLY  
OBTAINED

VALUE  
ADDED  
RULE

CHANGE IN TARIFF  
CLASSIFICATION

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## FORMULA FOR RVC

$$\text{RVC} = \text{FOB} - \frac{\text{NON-ORIGINATING MATERIALS}}{\text{FOB}}$$

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## Regional Value Content

**Finished Product:** Hand  
Bags (4202.22)

**FOB:** \$15.00

**Raw materials:**

KR - Synthetic Leather \$5.50

CN - Magic Tape \$ 2.50

VN - PE sponge \$1.05

$$\text{RVC} = \frac{\$15 - \$8}{\$15} \times 100$$

= 46%





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## Change in Chapter



Durum Wheat  
HS 1101.00

+



Cocoa beans  
HS 1801.00



Koko Krunch  
HS 1904.10

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# Change in Tariff Heading



LDPE

LDPE  
HS 3901.00



Factory Facility



Plastic bags  
HS 3923.21

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# Change in Tariff Subheading



Fresh tomato  
HS 0702.00



Tomato Puree  
HS 2002.90

+



Seasoning  
HS 2103.90



Tomato Sauce  
HS 2103.20

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## PROCEDURE FOR EVALUATION OF MANUFACTURED GOODS

APPLICATION LETTER (STATING THE CO FORM)

LIST OF MATERIALS USED IN THE PRODUCTION

COPY OF BOC CERTIFICATE OF REGISTRATION

COST ANALYSIS

SUBMITS TO ECD

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DESCRIPTION OF FINISHED PRODUCT TO BE EXPORTED					
PRODUCT CODE					
H.S. CODE					
<b>I. Importer/Foreign/ Consigned Raw Materials, Parts or Components (Including those locally purchased but foreign origin)</b>					
(1) Description	(2) Country of Origin and H.S. Code	(3) Quantity/No. of Pieces consumed Per Unit of Finished Product	(4) Unit Cost of Items in Col. (1)	(5) Total Cost Col (3) x Col (4)	(6) Reference No. of Supporting Invoices / Evidences
TOTAL COST of imported/foreign/consigned raw materials, parts or components (including those locally purchased but foreign origin) _____					
<b>II. Materials, Parts or Components made by the Manufacturing/Exporter and/or other Philippine Suppliers</b>					
(1) Description	(2) Country of Origin and H.S. Code	(3) Quantity/No. of Pieces consumed Per Unit of Finished Product	(4) Unit Cost of Items in Col. (1)	(5) Total Cost Col (3) x Col (4)	(6) Reference No. of Supporting Invoices / Evidences
TOTAL COST of materials, parts or components made by the manufacturing/exporter and/or other Philippine Suppliers _____					
<b>III. Cost of DIRECT LABOR in the Philippines (Labor cost attributable to the manufacturing of the finished product)</b>					
<b>IV. Other Direct Cost of Processing Operations (Except direct labor carried out in the Philippines which can reasonably be allocated to the manufacturing of finished product (e.g. machinery, depreciation, cost of tooling, research and development, quality, and control, etc))</b>					
<b>V. Overhead or General Expenses not directly attributed to the export product (e.g. administrative expenses, commissions, salary of executives etc.)</b>					
<b>VI. Ex-Factory Cost of the finished product (Sum of item 1 to 4)</b>					
<b>VII. Ex-factory price of finished product (Sum of item 1 to 5 plus profit)</b>					
<b>VIII. Cost of Transportation from factory to Pier or Frontier including brokerage service and port handling expenses</b>					
<b>IX. FOB Price (Sum of 7 and 8)</b>					

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# What is AWSC?

The **ASEAN-wide Self-Certification Scheme (AWSC)** is a trade facilitation initiative that provides for a simplified and streamlined procedure to claim and be granted ATIGA preferential tariff treatment.

Under the AWSC, exporters may apply for Certified Exporter status, and once granted, may issue an Origin Declaration that certify the originating status of their goods, which may be used in lieu of a Certificate of Origin (CO) to claim for ATIGA preferential tariffs.



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MYANMAR

THAILAND

VIETNAM

LAOS

CAMBODIA

SINGAPORE

MALAYSIA

BRUNEI

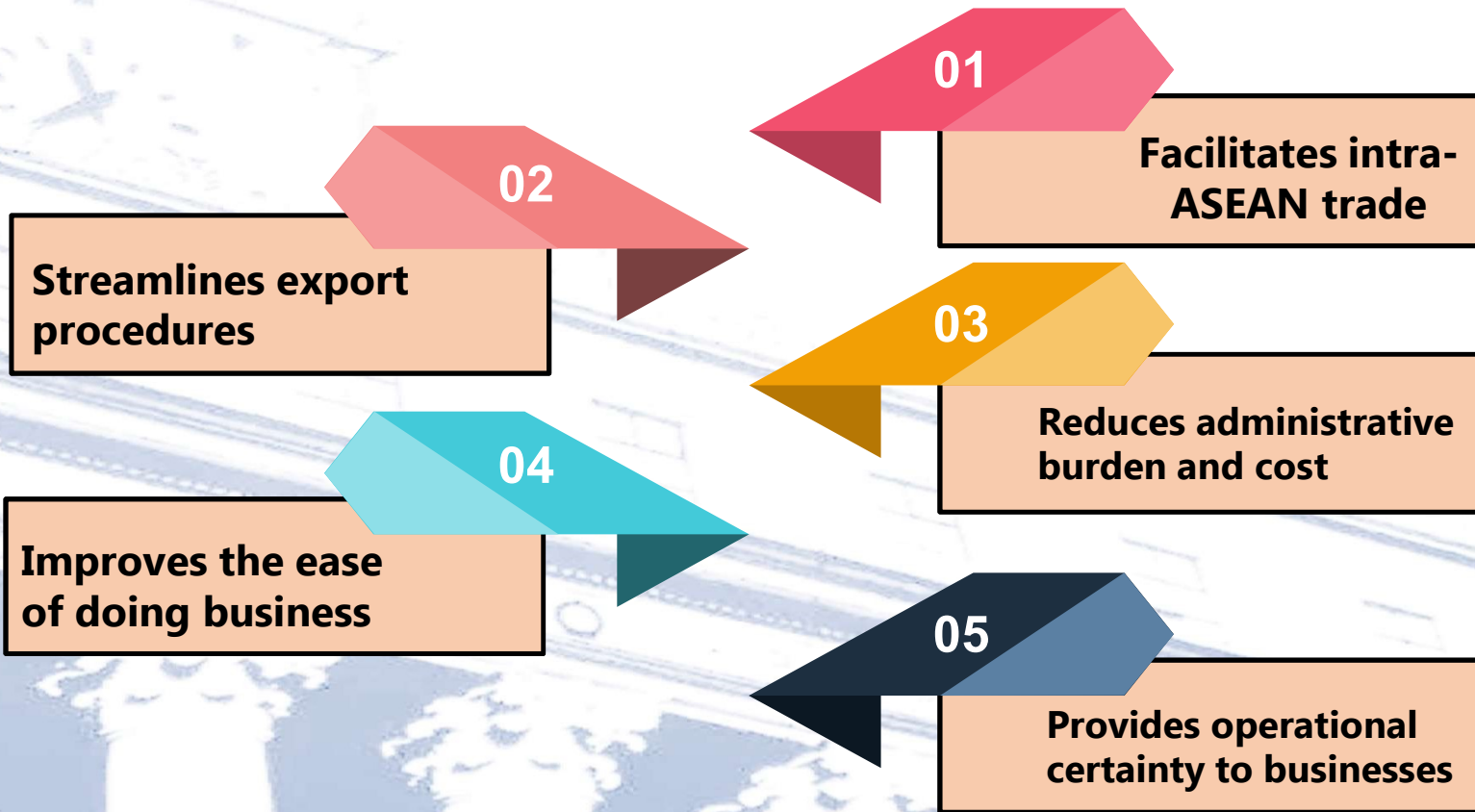
INDONESIA

PHILIPPINES



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# Benefits of the AWSC



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# APPLICATION PROCEDURES FOR CERTIFIED EXPORTER

## APPLICATION



**01** Applicant submits complete documentary requirements to Export Coordination Division, AOCG.

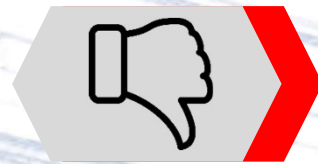
**02**

ECD shall evaluate the application based on the criteria set forth under CMO No. 24-2020



**3.1**

ECD shall grant the Certified Exporter status through issuance of written authorization within 15 working days from the date of receipt of application.



**3.2**

ECD shall issue a letter of disapproval duly signed by the Deputy Commissioner, AOCG, stating the reason/s for the denial.

**Note:**  
The Written Authorization must contain corresponding authorization number including the date of the authorization

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# ELECTRONIC CERTIFICATE OF ORIGIN (E-CO)

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## Application and Issuance of Outbound Electronic Certificate of Origin



**01**

The exporter or its duly authorized representative may apply for an e-CO by accessing his/her Tradenet account and filling up all relevant data fields.

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**02**

For wholly obtained or Produced Goods for which no PER is needed, the Exporter shall encode all information for each and every item or goods into the relevant data field.



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**03**

For goods which are NOT Wholly Obtained or Produced Goods, the Exporter shall input the information only for the goods indicated in the PER.

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**04**

The exporter shall upload the necessary documents as stipulated under Sec. 5.3.4. of CMO 15-2019 as attachments to the application for e-CO.







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## Application and Issuance of Outbound electronic Certificate of Origin



**05**

Upon submission of the application into the system, TradeNet.gov.ph shall notify the Exporter via email once his/her application has been received.

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**06**

The ECD/Export Division shall be notified by TradeNet once application has been submitted to the system.



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**07**

After completion of the risk assessment review, the Assistant Chief of ECD/Export Division shall recommend either approval or disapproval of the application to the Chief of ECD/Export Division. Upon evaluation, the Chief of ECD/Export Division may either approve or disapprove the same.

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**08**

TradeNet shall notify the Exporter, through a system generated email of the action of ECD/Export Division on the application.





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## Application and Issuance of Outbound electronic Certificate of Origin

**09**

In cases where the application is disapproved, the Exporter may file another application for e-CO.



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**10**

In exceptional cases, such as, but not limited to technical failures, the Exporter may apply for and be issued a Paper ATIGA Form D in accordance with the existing laws, rules and regulations.



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# Questions? Clarifications? Updates?

## Contact us!



[www.customs.gov.ph](http://www.customs.gov.ph)



[gina.german@customs.gov.ph](mailto:gina.german@customs.gov.ph)



527-4580; 705-6000

*Thank You!*

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